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FR G-FIN
OMB No. 7100-0224
Average hours per response: 1.0
Approval expires March 31, 2007

OFFICIAL USE

11-303

Notice of Government Securities Broker or Government Securities Dealer Activities**To Be Filed by a Financial Institution Under Section 15C(a)(1)(B) AND EXCHANGE COMMISSION
of the Securities Exchange Act of 1934**

1. Check appropriate regulatory agency (ARA):

- A. ☐ Comptroller of the Currency
 B. ☐ Board of Governors of the Federal Reserve System
 C. ☒ Federal Deposit Insurance Corporation
 D. ☐ Office of Thrift Supervision
 E. ☐ Securities and Exchange Commission

2. Conducts business as:

- A. ☐ Government Securities Broker
 B. ☐ Government Securities Dealer
 C. ☒ Government Securities Broker and Dealer

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4. A. Full name of the financial institution:

Carolina First Bank

B. Address of principal office of financial institution:

104 S. Main Street Greenville SC 29601

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

104 S. Main Street Greenville SC 29601

D. Mailing address if different from (B) or (C):

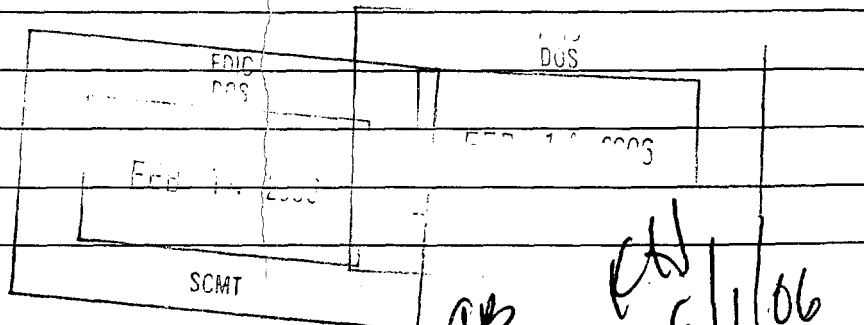
E. Name, title and telephone number of contact person with respect to this notice:

James C Monroe
NameExecutive Vice President
Title864-255-4768
Telephone

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above?

A. ☐ YesB. ☒ No

(If yes, provide addresses and describe activities.)



6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name

<u>Monroe</u>	<u>James</u>	<u>Charles, Jr</u>	<u>EVP</u>
Last	First	Middle	Title
<u>Toole</u>	<u>Ben</u>	<u>Coleman</u>	<u>VP</u>
Last	First	Middle	Title
Last	First	Middle	Title
Last	First	Middle	Title

Note: Attach a separate Form G-FIN-4 (or, if previously filed, a copy of Form MSD-4 or Form U-4) for each person named in item 6.

7. Has any "associated person" (see definition in paragraph A.7. of the instructions) responded "yes" to any question in Item 17 of Form G-FIN-4, or "yes" to one or more questions in Items 23 through 26 of Form MSD-4 or Item 22 on Form U-4?

A. ☐ Yes

B. ☒ No

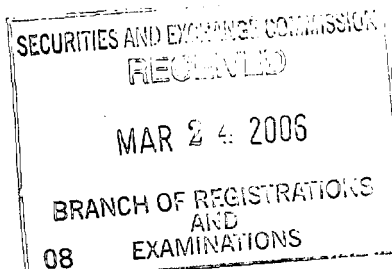
Note: The financial institution and the person executing this form are responsible for making an inquiry of all other employers of any associated person during the immediately preceding three years for the purpose of verifying the accuracy of the information furnished on Form G-FIN-4. (See 17 C.F.R. 400.4(c).) Similar requirements are applicable to Form MSD-4 and Form U-4.

8. The financial institution submitting this notice and the person executing it represent that all of the information contained herein is true, current and complete.

Please print name and title of person executing this notice:

<u>James</u>	<u>C</u>	<u>Monroe</u>	<u>Executive Vice President</u>
First	Middle	Last	Title
<u>James C Monroe</u>			<u>2-7-06</u>
Manual Signature			Date

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 D. ☐ Office of Thrift Supervision
 E. ☐ Securities and Exchange Commission

2. Conducts business as:

- A. ☐ Government Securities Broker
 B. ☐ Government Securities Dealer
 C. ☒ Government Securities Broker and Dealer

3. Filing status of notice:

- A. ☐ Notice
 B. ☒ Amendment

4. A. Full name of the financial institution:

Carolina First Bank

B. Address of principal office of financial institution:

104 S. Main Street Greenville SC 29601

C. Address of principal office where government securities broker or government securities dealer activities will be conducted (if different from item (B)):

104 S. Main Street Greenville SC 29601

D. Mailing address if different from (B) or (C):

E. Name, title and telephone number of contact person with respect to this notice:

James C Monroe Executive Vice President 864-255-4768
 Name Title Telephone

5. Does financial institution conduct, or will it conduct, government securities broker or government securities dealer activities at any location other than given in Question 4 above?

- A. ☐ Yes B. ☒ No

(If yes, provide addresses and describe activities.)

EDIC	D-5
SCMT	

6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:

Full Name			
Monroe	James	Charles, Jr	EVP
Last	First	Middle	Title
Toole	Ben	Coleman	VP
Last	First	Middle	Title

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A. ☐ Yes

B. ☒ No

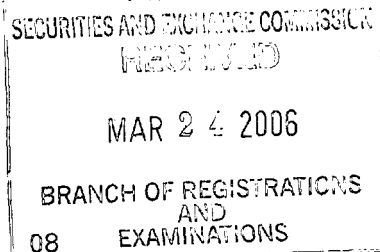
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Please print name and title of person executing this notice:

James	C	Monroe	Executive Vice President
First	Middle	Last	Title
[Signature]			2-7-06
Manual Signature			Date

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James C Monroe Executive Vice President 864-255-4768
Name Title Telephone

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A. ☐ YesB. ☒ No

(If yes, provide addresses and describe activities.)

EDIC	6-5
SCMT	

6. Furnish the name and title of each person who is directly engaged in the management, direction or supervision of any of the financial institution's government securities broker or government securities dealer activities:

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A. ☐ Yes

B. ☒ No

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James	C	Monroe	Executive Vice President
First	Middle	Last	Title

James C Monroe	2-7-06
Manual Signature	Date